

3-26-04
ATTORNEY DOCKET NO.
064731.0375

SERIAL NO.
10/722,856

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ashwin Anil Gumaste et al.
Serial No.: 10/722,856
Filing Date: November 26, 2003
Title: *Optical Ring Network with Optical Subnets and Method*

Commissioner For Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

<p>Certificate of Mailing by Express Mail No. EV 324643436 US I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37. C.F.R. 1.10 on the date shown below, and addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.</p> <p>Name: <u>Willie Jiles</u> Willie Jiles</p> <p>Date: March 24, 2004</p>
--

INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §§ 1.56, .97, and .98, Applicants respectfully request that the references listed in the attached PTO-1449 form be considered and cited in the examination of the Application. The present Application was filed after June 30, 2003. Therefore, under the July 11, 2003, waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, copies of U.S. Patents and U.S. Patent Application Publications listed in the attached PTO-1449 form are not enclosed. Copies of all other references are enclosed for the convenience of the Examiner.

EV324643436US

REMARKS

Pursuant to 37 C.F.R. § 1.97(b)(3), Applicants believe this Information Disclosure Statement has been filed before the mailing date of the first Official Action. Therefore, Applicants believe no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

Attorneys for Applicants

A handwritten signature in black ink, appearing to read 'Brian W. Oaks', is written over the printed name.

Brian W. Oaks
Reg. No. 44,981

Date: March 24, 2004

Correspondence Address:
Baker Botts L.L.P.
2001 Ross Avenue, 6th Floor
Dallas, Texas 75201-2980
(214) 953-6986

Customer Number

05073



PTO-1449	Application No. 10/722,856	Applicant(s) Ashwin A. Gumaste, et al.	
	Docket Number 064731.0375	Group Art Unit Unknown	Filing Date November 26, 2003

**Information Disclosure Citation
In an Application**

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,414,548	05/09/95	Tachikawa et al.	359	130	09/28/93
	B	5,576,875	11/19/96	Chawki et al.	359	125	04/10/95
	C	5,615,036	03/25/97	Emura	359	124	04/19/96
	D	5,774,244	06/30/98	Tandon et al.	359	125	01/18/95
	E	5,930,016	07/27/99	Brorson et al.	359	127	10/10/96
	F	6,097,696	08/01/00	Doverspike	370	216	02/23/99
	G	6,160,648	12/12/00	Öberg et al.	359	110	09/19/97
	H	6,192,173	02/20/01	Solheim et al.	385	24	06/02/99
	I	6,236,498	05/22/01	Freeman et al.	359	341	02/19/99
	J	6,310,994	10/30/01	Jones et al.	385	24	04/05/00
	K	6,344,911	02/05/02	Dailey, Jr. et al.	359	127	12/29/99
	L	6,351,582	02/26/02	Dyket et al.	385	24	11/09/99
	M	6,426,817	07/30/02	Tomita	359	127	01/26/99
	N	6,486,988	11/26/02	Lewis et al.	359	127	09/08/99
	O	2001/0015836	08/23/01	Kim et al.	359	124	12/26/00
	P	2002/0186439	12/12/02	Buabbud et al.	359	173	06/06/01
	Q	2002/0191898	12/16/02	Evans et al.	385	24	04/06/01
	R	2002/0196491	12/26/02	Deng et al.	359	124	06/25/01

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

NON-PATENT DOCUMENTS

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	S ✓	Chlamtac et al., "Lightpath Communications: An Approach to High Bandwidth Optical WAN's," <i>IEEE Transactions on Communications</i> , Vol. 40, No. 7, July 1992, 12 pages.	July 1992
	T ✓	Narula-Tam et al., "Efficient Routing and Wavelength Assignment for Reconfigurable WDM Networks," <i>IEEE Journal on Selected Areas in Communications</i> , Vol. 20, No. 1, January 2002, 14 pages.	January 2002
	U ✓	Grenfeldt, "ERION-Ericsson optical networking using WDM technology," Ericsson Review No. 3, pp. 132-137	1998
	V ✓	Ashmead, "ROADMap for the Metro Market," Fiberoptic Product News, 3 pages (36, 38, and 40)	October 2001
	W ✓	Batchellor, "Optical Networking the Ericsson Way," Ericsson Limited, Business Unit Transport and Cable Networks, pp. 1-4	2/22/2002
	X ✓	Bacque, B. et al., "R-OADM Architecture - Now you can Control the Light," Tropic Networks, pp. 1-11	2003

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE

DAL01:787434.1

PTO-1449 Information Disclosure Citation In an Application		Application No. 10/722,856		Applicant(s) Ashwin A. Gumaste, et al.			
		Docket Number 064731.0375	Group Art Unit Unknown	Filing Date November 26, 2003			
U.S. PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES	NO
NON-PATENT DOCUMENTS							
		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)					DATE
	A ✓	U.S. Patent Application Serial No. 10/108,734, entitled "Method and System for Control Signaling in an Open Ring Optical Network," filed March 27, 2002					03/27/2002
	B ✓	U.S. Patent Application Serial No. 10/108,741, entitled "Method and System for Testing During Operation of an Open Ring Optical Network," filed March 27, 2002					03/27/2002
	C ✓	U.S. Patent Application Serial No. 10/112,022, entitled "Flexible Open Ring Optical Network and Method," filed March 28, 2002					03/28/2002
	D ✓	U.S. Patent Application Serial No. 10/158,523, entitled "Optical Ring Network with Optical Subnets and Method," filed May 29, 2002					05/29/2002
	E ✓	U.S. Patent Application Serial No. 10/158,348, entitled "Multiple Subnets in an Optical Ring Network and Method," filed May 29, 2002					05/29/2002
	F ✓	U.S. Patent Application Serial No. 10/159,499, entitled "Combining and Distributing Amplifiers for Optical Network and Method," filed May 30, 2002					05/30/2002
	G ✓	U.S. Patent Application Serial No. 10/159,307, entitled "Optical Add/Drop Node and Method," filed May 30, 2002					05/30/2002
	H ✓	U.S. Patent Application Serial No. 10/159,464, entitled "Passive Add/Drop Amplifier for Optical Network and Method," filed May 30, 2002					05/30/2002
	I ✓	U.S. Patent Application Serial No. 10/246,053, entitled "Optical Network and Distributed Sub-Band Rejections," filed September 17, 2002					09/17/2002
	J ✓	U.S. Patent Application Serial No. 10/262,818, entitled "Optical Ring Network with Hub Node and Method," filed October 1, 2002					10/01/2002
	K ✓	U.S. Patent Application Serial No. 10/448,169, entitled "Optical ring Network with Selective Signal Regeneration and Wavelength Conversion," filed May 29, 2003					05/29/2003
	L ✓	U.S. Patent Application Serial No. 10/627,548, entitled "System and Method for Communicating Optical Traffic Between Ring Networks," filed July 25, 2003					07/25/2003
	M ✓	U.S. Patent Application Serial No. 10/629,021, entitled "Optical Network with Sub-Band Rejection and Bypass," filed July 28, 2003					07/28/2003
	N ✓	U.S. Patent Application Serial No. 10/695,711, entitled "Method and System for Increasing Network Capacity in an Optical Network," filed October 29, 2003					10/29/2003
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							

U.S. PATENT AND TRADEMARK OFFICE